



# Surface potential at a ferroelectric grain due to asymmetric screening of depolarization fields

Yuri A. Genenko,<sup>1,a)</sup> Ofer Hirsch,<sup>1,b)</sup> and Paul Erhart<sup>2</sup>

<sup>1</sup>*Technische Universität Darmstadt, Darmstadt, Germany*

<sup>2</sup>*Chalmers University of Technology, Gothenburg, Sweden*

(Received 6 January 2014; accepted 26 February 2014; published online 11 March 2014; publisher error corrected 12 March 2014)

Nonlinear screening of electric depolarization fields, generated by a stripe domain structure in a ferroelectric grain of a polycrystalline material, is studied within a semiconductor model of ferroelectrics. It is shown that the maximum strength of local depolarization fields is rather determined by the electronic band gap than by the spontaneous polarization magnitude. Furthermore, field screening due to electronic band bending and due to presence of intrinsic defects leads to asymmetric space charge regions near the grain boundary, which produce an effective dipole layer at the surface of the grain. This results in the formation of a potential difference between the grain surface and its interior of the order of 1 V, which can be of either sign depending on defect transition levels and concentrations. Exemplary acceptor doping of BaTiO<sub>3</sub> is shown to allow tuning of the said surface potential in the region between 0.1 and 1.3 V.

© 2014 AIP Publishing LLC. [<http://dx.doi.org/10.1063/1.4867984>]

## I. INTRODUCTION

Potential barriers at internal interfaces of polycrystalline materials have a great impact on their physical properties, particularly, on dielectric properties and nonlinear ionic and electronic conductivity.<sup>1–4</sup> A physical reason for the formation of the barriers is often redistribution of charged defects at grain boundaries. In case of conducting oxides, it is the segregation of oxygen vacancies, the most mobile charge defects, that form—together with immobile background ions—space charge regions resulting in the electrostatic potential barriers.<sup>5,6</sup>

In the special case of ferroelectric ceramics, potential barriers may result from the spontaneous polarization and consequent internal depolarization fields, which do not vanish entirely in a disordered medium. Local depolarization fields have a strong impact on formation of polarization structures in ferroelectrics.<sup>7–9</sup> They can also trigger charge defect migration, which is considered as a possible factor of aging and fatigue of ferroelectrics<sup>9–15</sup> affecting performance of these materials used in sensors, actuators, and non-volatile random-access memory devices. The magnitude of these electric fields produced by bound charges due to spontaneous polarization may be remarkable in comparison with coercive fields (1–10 kV/mm); however, observation of these fields is difficult since they reveal themselves only at the micro- to meso-scale. Nevertheless, recent measurements of the electric potential on the surface of barium titanate single crystals by using ultrahigh-vacuum atomic force microscopy have shown periodic step-like potential structures typical of upward and downward 180° domains in this material.<sup>16,17</sup> On the other hand, the amplitude of the potential variation appeared to be

two orders of the magnitude smaller than that predicted by the classical theory of a stripe domain structure.<sup>8,18</sup>

Drastic differences between experiment and this simple model of a ferroelectric were supposed to result from the distortion of the electronic band structure by the electric field.<sup>17</sup> Indeed, variations of the electrostatic potential at the scale of a typical domain width in barium titanate may amount to several volts, while the band gap in this compound is about 3.4 eV. Therefore, the material has to be considered as a wide-gap semiconductor.<sup>19,20</sup> Band bending near the positively charged domain boundaries leads thus to formation of space charge regions with an excessive electron concentration, while band bending near the negatively charged domain boundaries creates space charge regions with an excessive hole concentration. In both cases, this results in the depression of the electric field, which causes the band bending. Hence, the distribution of charges and fields is governed by the self-consistent nonlinear Poisson equation accounting for the electronic band structure of the material.

Beside electronic carriers, a significant contribution to the field screening can be made by various charged defects in ferroelectric perovskites, which are typically vacancies and—intentional or unintentional—impurities. Their contribution to charge balance and the formation of space charge regions depends on the position of the defect energy levels with respect to the band edges as well as their concentration. This allows in principle a fine control of the screening of the depolarization field and related physical properties by doping ferroelectrics with certain donor or acceptor impurities or their combinations. This understanding was confirmed by recent investigations of the photochemical reactions with a variety of metal salts on a surface of the lead zirconate titanate where the variation of the conduction band edge of about  $\pm 0.5$  V depending on the local polarization state was established.<sup>21</sup>

So far, a thorough quantitative analysis of the nonlinear electric field screening was performed only in the one-

<sup>a)</sup>Electronic mail: [genenko@mm.tu-darmstadt.de](mailto:genenko@mm.tu-darmstadt.de)

<sup>b)</sup>Present address: Swiss Federal Institute of Technology, Zürich, Switzerland.

dimensional case<sup>20,22</sup> or for a single domain wall in the film geometry,<sup>23</sup> which misses some important features and consequences of the screening in the case of domain arrays in the bulk material. In this work, the depolarization field problem is treated in a two-dimensional model of a ferroelectric grain<sup>13</sup> extended by the above-mentioned nonlinear Poisson equation. The model furthermore involves the evaluation of intrinsic defect concentrations from thermodynamic balance equations<sup>24</sup> using defect transition levels calculated from density functional theory (DFT).<sup>25</sup> The paper is organized as follows. In Sec. II, a nonlinear semiconductor model of a ferroelectric grain is formulated including the nonlinear Poisson equation and evaluation of the charge defect densities. Numerical solution of the semiconductor model by means of the finite-element (FE) method is delineated in Sec. III for the case of only intrinsic defects present. Effect of extrinsic doping on charge and potential distributions is studied in Sec. IV. Physical results of the nonlinear field screening in differently doped ferroelectrics are finally concluded in Sec. V. In appendices, Green's function of a linear anisotropic problem is derived, which is used for verification of the nonlinear numerical calculations in Sec. III.

## II. SEMICONDUCTOR MODEL OF A FERROELECTRIC GRAIN

In this section, the main components of the nonlinear electrostatic model are presented: the model geometry, governing equations and boundary conditions. Our consideration is based on the two-dimensional model of an isolated ferroelectric grain inside an unpoled polycrystalline ferroelectric suggested in Refs. 13 and 26, which applies, in fact, to any poly-domain single crystalline sample electrically decoupled from surrounding. The quadratic grain of size  $h$  is filled with an array of stripe domains of width  $a \ll h$  as is schematically shown in Fig. 1. The full polarization of the grain equals

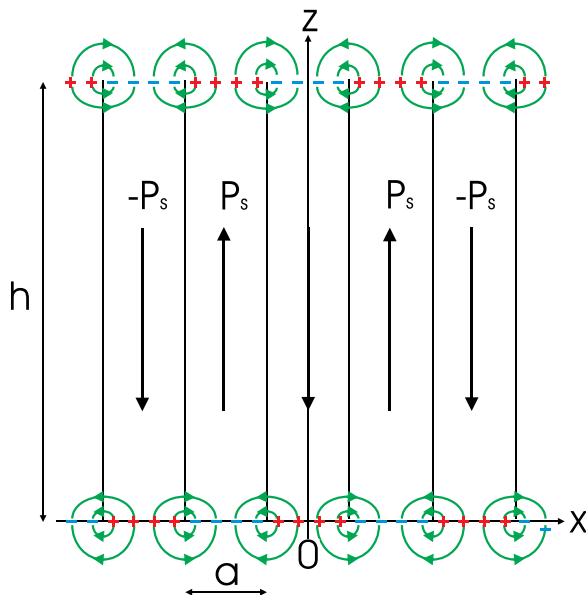


FIG. 1. Layout of a 2D-array of 180°-domain walls crossing the grain boundaries at a right angle. Straight arrows show the direction of the polarization and curved arrows the schematic pattern of the local electric fields.

zero. A hard domain structure is assumed, i.e., the spatial variation of the polarization within the domains is neglected as is appropriate by temperatures well below the ferroelectric phase transition temperature. Since depolarization fields created by bound charges at the grain boundary exponentially decay on the typical distance of  $a$  (Ref. 13), the grain separated from the other grains by a dielectric layer of comparable thickness may be considered as electrically decoupled from the surrounding. For the same reason, by evaluation of the electric field, it suffices to consider just one side of the quadratic frame. Furthermore, FE computations of the field in such a frame show that the field pattern is virtually periodic with the exception of the very edges of the domain array as soon as  $a \ll h$ .<sup>26</sup> That is why in the following numerical treatment we will study just one repetitive element of a two-dimensional periodic array of domains infinite in the  $x$ -direction and cut by the surfaces  $z=0$  and  $z=h$  perpendicular to the direction of spontaneous polarization in domains.

The ferroelectric medium occupies the region  $0 < z < h$  and is characterized by the tensor of dielectric permittivity  $\hat{\epsilon} = \epsilon_0 \hat{\epsilon}_f$  with  $\epsilon_0$  the permittivity of vacuum, which is assumed to be diagonal in the chosen Cartesian frame

$$\hat{\epsilon}_f = \begin{pmatrix} \epsilon_d & 0 & 0 \\ 0 & \epsilon_b & 0 \\ 0 & 0 & \epsilon_c \end{pmatrix}. \quad (1)$$

The semi-spaces  $z < 0$  and  $z > h$  are occupied by an isotropic dielectric medium characterized by the relative dielectric constant  $\epsilon_d$ . The system is supposed to be uniform in the  $y$ -direction so that no quantities involved are  $y$  dependent. This model configuration is well-known in the physics of polarized media and was used for the study of equilibrium and dynamic properties of ferromagnetic<sup>7,8</sup> and ferroelectric<sup>9,18</sup> materials.

Due to the spontaneous polarization  $\mathbf{P}_s$ , the domain faces at  $z=0$  and  $z=h$  are alternatively charged with the bound surface charge density  $\sigma = |\mathbf{P}_s|$ . The electric field  $\mathbf{E}(x, z)$  is determined by the bound surface charge and the total space charge  $\rho(x, z)$  of free carriers and charged defects through Gauss' law

$$\epsilon_0 \nabla(\hat{\epsilon}_f \mathbf{E}) = \rho(x, z). \quad (2)$$

Assuming the total electroneutrality of the system and the same periodicity of  $\rho(x, z)$  along the  $x$  axis as that of the domain array the electric field has to vanish far away from the grain boundaries  $z=0$  and  $z=h$  that serves as the asymptotic boundary condition for the electric field. Natural boundary conditions at the grain boundaries are given by continuity of the electrostatic potential  $\varphi$  and of the normal electric displacement component at the boundaries  $z=0$  and  $z=h$ .<sup>8</sup>

### A. Constitutive equations

Distributions of the electrostatic potential  $\varphi(x, z)$  in the ferroelectric and the dielectric regions obey the Poisson Eq. (2) where the charge density  $\rho$  on the right-hand side includes all the charged species relevant for undoped BaTiO<sub>3</sub> synthesized under Ba-rich conditions<sup>25</sup>

$$\rho = q \left( p - n + 2N_{V_o^{2+}} - 4N_{V_{Ti}^{4-}} - 2N_{[V_{Ti}-V_o]^{2-}} \right). \quad (3)$$

Here,  $q$  denotes the elementary charge,  $p$  and  $n$  the densities of holes and electrons, respectively, and  $N_{V_o^{2+}}$ ,  $N_{V_{Ti}^{4-}}$ , and  $N_{[V_{Ti}-V_o]^{2-}}$  the densities of the respective ionized defects in the indicated charged states. Note that we assume the defect concentrations  $N_{V_o}$ ,  $N_{V_{Ti}}$ ,  $N_{[V_{Ti}-V_o]}$  to be homogeneous over the entire sample and thus neglect possible segregation effects that have been shown to exist, e.g., in BaZrO<sub>3</sub>.<sup>5</sup>

All the particular charge densities are dependent on the local value of the electrostatic potential as follows:<sup>27</sup>

$$n = N_C \frac{2}{\sqrt{\pi}} F_{1/2} \left( \frac{E_F - E_{CB} + q\varphi}{k_B T} \right), \quad (4)$$

$$p = N_V \frac{2}{\sqrt{\pi}} F_{1/2} \left( \frac{E_{VB} - E_F - q\varphi}{k_B T} \right), \quad (5)$$

$$N_{V_o^{2+}} = \frac{N_{V_o}}{1 + g_D \exp \left( \frac{E_F - E_{V_o^{2+}} + q\varphi}{k_B T} \right)}, \quad (6)$$

$$N_{V_{Ti}^{4-}} = \frac{N_{V_{Ti}}}{1 + g_A \exp \left( \frac{E_{V_{Ti}^{4-}} - E_F - q\varphi}{k_B T} \right)}, \quad (7)$$

$$N_{[V_{Ti}-V_o]^{2-}} = \frac{N_{[V_{Ti}-V_o]}}{1 + g_A \exp \left( \frac{E_{[V_{Ti}-V_o]^{2-}} - E_F - q\varphi}{k_B T} \right)}, \quad (8)$$

where  $k_B$  is the Boltzmann constant,  $T = 300$  K absolute temperature,  $N_C$  and  $N_V$  are the effective densities of states in the conduction band and in the valence band, respectively,<sup>28</sup>  $F_{1/2}(x)$  is the complete Fermi-Dirac integral.<sup>29</sup> The degeneracy of the defect level is set to two in the donor case ( $g_D = 2$ ) and to four in the acceptor case ( $g_A = 4$ ) to account for the spin polarization of electrons and holes.<sup>27</sup> The Fermi energy  $E_F$  is defined far away from the charged interfaces at  $z = h/2 \gg a$  by setting the electrostatic potential  $\varphi$  and the right-hand side of Eq. (3) to zero.

Depending on the defect energies and concentrations, the densities of electrons and holes may be in certain circumstances rather large. Then, the question arises whether redistribution of these mobile charge carriers can compensate the depolarization field completely. Conditions of equilibrium with regard to the drift and diffusion of electrons and holes can be formulated as vanishing currents of both species

$$\begin{aligned} j_n &= -q\mu_n n \nabla \varphi + qD_n \nabla n = 0, \\ j_p &= -q\mu_p p \nabla \varphi - qD_p \nabla p = 0, \end{aligned} \quad (9)$$

where  $\mu_n$  ( $\mu_p$ ) and  $D_n$  ( $D_p$ ) are the mobility and diffusivity of electrons (holes), respectively. Since in our problem, the Fermi energy may cross the valence and the conduction band edges the Fermi statistics should be used, which makes the classical Einstein relation between diffusivity and mobility,  $\mu = qD/k_B T$ , invalid. In this case, the generalized Einstein relations<sup>30,31</sup> should be applied which read

$$\mu_n = qD_n \frac{1}{n} \frac{\partial n}{\partial E_F}, \quad \mu_p = -qD_p \frac{1}{p} \frac{\partial p}{\partial E_F}. \quad (10)$$

With these relations implemented, Eqs. (9) become compatible with equilibrium expressions for the charge carrier densities (4) and (5). This means, particularly, that the depolarization field can coexist with nonuniform charge carrier distributions at mesoscopic scale in equilibrium.

The system of Eqs. (2)–(8) can be numerically solved as soon as the material parameters and concentration of defects are specified. The choice of the latter is detailed in Sec. II A.

## B. Evaluation of the intrinsic defect concentrations

Even in the nominally undoped BaTiO<sub>3</sub> ceramics, a number of defects appear during the sintering process at high temperatures making the material intrinsically doped. The type and concentrations of defects strongly depend on conditions of the material synthesis resulting in a certain position within the stability diagram of the compound.<sup>24,25</sup> Typical natural acceptor and donor defects, which form in BaTiO<sub>3</sub> during the production procedure under Ba-rich conditions, are exemplarily considered here with respect to their role in field screening at grain boundaries. According to DFT calculations, the most favorable defects are then doubly ionized oxygen vacancies,  $V_o^{2+}$ , which act as donors, as well as titanium vacancies  $V_{Ti}^{4-}$  and di-vacancies  $[V_{Ti} - V_o]^{2-}$ , which both act as acceptors.<sup>25</sup> Their transition energy levels with respect to the top of the valence band are presented in Table I together with other material and model parameters taken from Refs. 28 and 32. Defect concentrations were calculated according to the procedure described in Ref. 24 using defect formation energies from.<sup>25</sup> It has been shown that this approach yields defect concentrations and electrical conductivities in excellent agreement with experimental high-temperature data over a wide range of oxygen partial pressures.<sup>24</sup> For our calculations, the sample was assumed to be fully equilibrated at  $T = 1000$  K, at an atmospheric

TABLE I. Material and model parameters.

Band gap, $E_G$	3.4 eV
Transition level of oxygen vacancy, $E_{V_o^{2+}}$	3.35 eV
Transition level of titanium vacancy, $E_{V_{Ti}^{4-}}$	0.4 eV
Transition level of titanium-oxygen di-vacancy, $E_{[V_{Ti}-V_o]^{2-}}$	0.21 eV
Oxygen vacancy density, $N_{V_o}$	$1.214 \times 10^{20} \text{ m}^{-3}$
Titanium vacancy density, $N_{V_{Ti}}$	$8.494 \times 10^{21} \text{ m}^{-3}$
Titanium-oxygen di-vacancy density, $N_{[V_{Ti}-V_o]}$	$1.370 \times 10^{22} \text{ m}^{-3}$
Density of states of the valence band, $N_V$	$1.5 \times 10^{28} \text{ m}^{-3}$
Density of states of the conduction band, $N_C$	$1.6 \times 10^{28} \text{ m}^{-3}$
Relative permittivity in crystallographic direction $a$ , $\epsilon_a$	2180
Relative permittivity in crystallographic direction $c$ , $\epsilon_c$	56
Relative permittivity of the dielectric, $\epsilon_d$	1
Spontaneous polarization in direction $c$ , $P_s$	$0.25 \text{ Cm}^{-2}$
Domain width, $a$	100 nm
Domain length, $h$	$40a$

oxygen partial pressure of  $0.21 \times 10^5$  Pa, followed by rapid quenching to 300 K. The concentrations of barium vacancies and barium-oxygen di-vacancies as well as defects  $V_O$ ,  $V_{Ti}$ , and  $[V_{Ti} - V_O]$  in other ionization states than those shown in Table I (for example, single- and double-ionized Ti vacancies) are orders of the magnitude smaller than  $N_{V_O^{2+}}$ ,  $N_{V_{Ti}^{4+}}$ , and  $N_{[V_{Ti} - V_O]^{2-}}$ , and thus have been neglected.

### C. Framework and boundary conditions for numerical calculations

Due to periodicity, it is sufficient to consider just one repetitive element of the structure shown in Fig. 1. For the numerical treatment, we choose the area  $-a < x < a$ ,  $-h < z < 2h$  with  $h = 40a$  including two domain walls at the positions  $x = \pm a/2$ , which separate adjacent positively and negatively charged domain boundaries, as shown in Fig. 2. The ferroelectric material occupies the area  $0 < z < h$  while the external regions,  $-h < z < 0$  and  $h < z < 2h$ , are occupied by the dielectric. The boundaries of each domain at  $z = 0$  and  $z = h$  are charged with the surface charge density  $\pm\sigma$  as is shown in Fig. 2. Thus, in the middle of the frame,  $|x| < a/2$ , polarization is negative while in the outer regions,  $a/2 < |x| < a$ , polarization is positive.

The following requirements are used as the boundary conditions: (a) the electric field vanishes far away from the charged domain boundaries; for the chosen computational framework, this means  $\partial_z \phi = 0$  at  $z = 2h$  and at  $z = -h$ ; (b) since the periodic domain structure is bilaterally symmetrical with respect to the centers of both positive and negative domains, the transverse field component vanishes at the side boundaries of the computational framework,  $\partial_x \phi = 0$  at  $x = \pm a$ ; (c) for the charged boundaries at  $z = 0$  and  $z = h$ , the natural boundary conditions apply which follow from Gauss' law,<sup>33</sup>

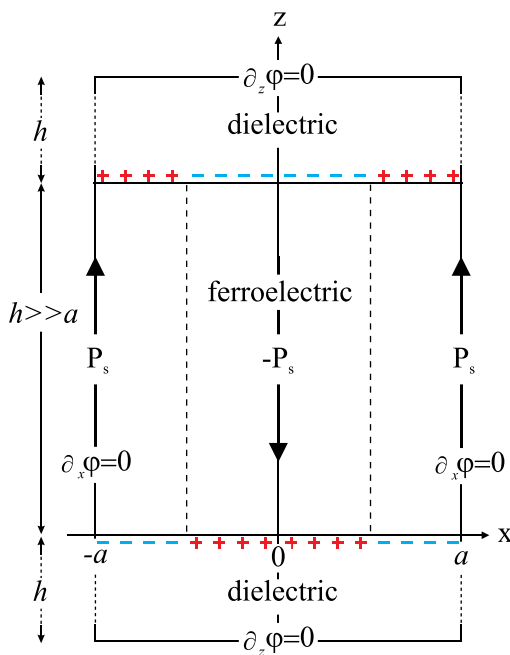


FIG. 2. The layout of the computational framework with boundary conditions indicated.

$$\epsilon_d \partial_z \phi(x, h+0) - \epsilon_c \partial_z \phi(x, h-0) = -\sigma_p(x, h)/\epsilon_0, \quad (11)$$

$$\epsilon_c \partial_z \phi(x, +0) - \epsilon_d \partial_z \phi(x, -0) = -\sigma_p(x, 0)/\epsilon_0, \quad (12)$$

where the local values of the surface charge densities at the ferroelectric boundaries,  $\sigma_p(x, z)$ , adopt constant values  $\pm\sigma$  as indicated in Fig. 2. Note that, in principle, the surface charges may be included either in the right-hand side of Eq. (2) as  $\delta$ -functions, or in the boundary conditions. For implementation of the FE calculations, the second approach is adequate using the boundary conditions (11) and (12).

### III. CHARGE AND POTENTIAL DISTRIBUTIONS IN THE INTRINSICALLY DOPED BaTiO<sub>3</sub>

#### A. FE evaluation of charge and potential profiles

The system of Eqs. (2)–(8) with the input parameters from the Table I has been solved using the FE software FlexPDE on the two-dimensional frame of Fig. 2. Results are presented exemplarily in Figs. 3 and 4 to illustrate the main features of the potential profile. To validate the numerical treatment, the electrostatic potential at the charged interface  $z = 0$  was first calculated in absence of electronic and defect charges (solid line in Fig. 3) and compared with the respective analytic result (short-dashed line in Fig. 3) given by the formula

$$\phi_b(x, 0) = \int_z^\infty dz E_z^0(x, z), \quad (13)$$

where the field is defined by Eq. (B5) of Appendix B. These two lines coincide perfectly and present periodic alternating variation of the potential with a maximum about 3 V in the middle of the positively charged domain boundary ( $x = 0$ ) and a minimum of the same magnitude but negative sign in the middle of the negatively charged domain boundaries ( $x = \pm a$ ).

The dashed line represents the solution in the presence of electronic charge carriers only, i.e., in the limit that all

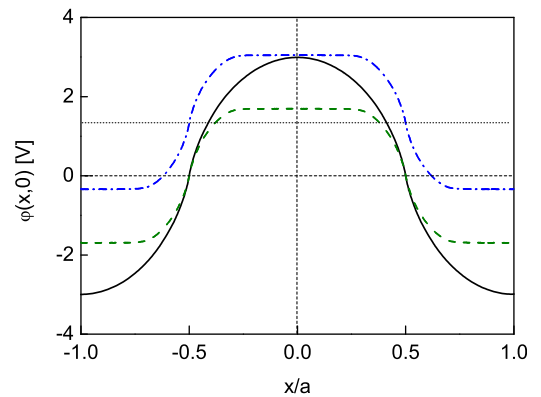


FIG. 3. Electrostatic potential profile in  $x$  direction along the ferroelectric/dielectric interface at  $z = 0$ . Solid and short-dashed lines show the numerical and the analytical calculation in absence of free charges, respectively (the curves cannot be distinguished). The dashed line presents the potential with account of the electronic charges  $p$  and  $n$  only while the dashed-dotted line accounts for both electronic and defect charges, the thin dotted horizontal line indicating its mean value  $\phi_s$ .



defect densities  $N_{V_O}, N_{V_{Ti}}, N_{[V_{Ti}-V_O]}$  in Eq. (3) are set to zero. This was done to illustrate the pure effect of the electronic band bending alone. As well as the solid line this solution exhibits symmetry with respect to positively and negatively charged domain faces but with the magnitude of the alternating potential reduced to about 1.7 V. The plus and minus potential amplitudes remain symmetric because of the virtually equal parameters of the conduction ( $N_C$ ) and the valence ( $N_V$ ) bands<sup>28</sup> (see Table I). Thus, account of the electronic band structure limits the maximum variation of the electrostatic potential to the band gap magnitude of 3.4 V. Stronger variations of the potential and, respectively, stronger electric fields are compensated by accumulation of the electronic carriers at the charged domain boundaries irrespectively of the magnitude of the spontaneous polarization  $P_s$ .

Finally, the dashed-dotted line represents the solution when both electronic carriers and charged defects are included. In this case, the symmetry between the positively and negatively charged domain boundaries is distinctly broken so that a mean value of the potential  $\varphi_s = 1.34$  V prevails at the interface. The potential distribution looks symmetrically alternating around  $\varphi_s$  with an amplitude of 1.7 V.

For better understanding of the nature of the potential shift  $\varphi_s$ , the potential profiles along the symmetry axes of the positive and the negative polarization domains are plotted in Fig. 4. In the absence of both charge carriers and defects, potential peaks are due to positive and negative

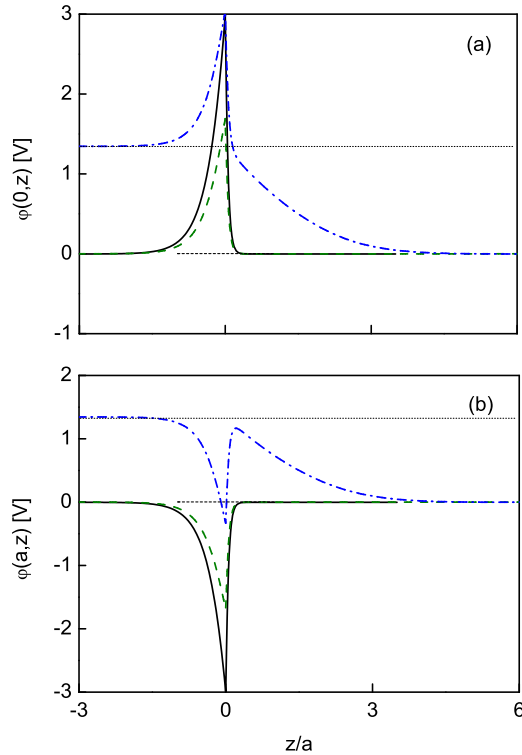


FIG. 4. Electrostatic potential profiles in  $z$  direction along the domain symmetry axes at  $x = 0$  (a) and  $x = a$  (b). Solid lines show the potential distributions in absence of free charges, the dashed line in the presence of the electronic charges only and the dashed-dotted line with account of both the electronic and the defect charges. The thin dotted horizontal lines indicate the mean value  $\varphi_s$  at the surface.

surface bound charges only (solid lines). When band bending is taken into account, the potential peaks are reduced by approximately one half due to space charges of electrons and holes (dashed lines). Finally, in the presence of both free carriers and charged defects (dashed-dotted lines), the asymptotic potential values to the left and to the right of the interface become different revealing a potential step along the  $z$  direction. The average value of the potential at the interface with respect to the interior of the grain, becomes positive and equals 1.34 V in accordance with Fig. 3.

The spatial variations of conduction and valence band edges as well as defect transition levels are shown in Fig. 5. Similar to Fig. 4, it discloses two characteristic length scales of the potential variation. The first one is intrinsic to the stripe domain structure and is about  $a_s = a\sqrt{\epsilon_c/\epsilon_a} < a$ . The second one, which arises only in the presence of the charged defects, is one order of magnitude larger and amounts to a few  $a$ . Though Eqs. (2)–(8) are nonlinear the potential profiles in Fig. 4 can be roughly interpreted as a superposition of the (screened) short-range potential due to the charged domain boundaries and the long-range potential step across the ferroelectric/dielectric interface.

Spatial distributions of charge carriers and charge defects corresponding to the potential distribution are presented in Fig. 6. The densities of the charged defects  $N_{V_{O}^{2+}}$  and  $N_{[V_{Ti}-V_O]^{2-}}$  remain virtually constant all over the system except for the regions of a few nm near the charged boundaries not seen in the figure. The density of the charged defects  $N_{V_{Ti}^{4-}}$  in contrast undergoes spatial variation at the same scale of about  $5a$  as the charge carrier densities. The density of electrons thereby remains very small everywhere but the

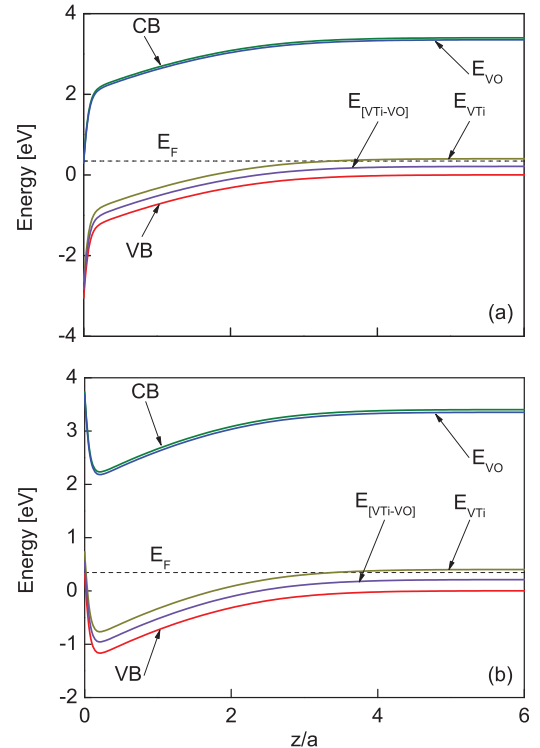


FIG. 5. Spatial variation of band edges and defect energy levels along the symmetry axis  $x = 0$  of the negative polarization domain (a) and along the symmetry axis  $x = a$  of the positive polarization domain (b).  $E_F = 0.344$  eV.

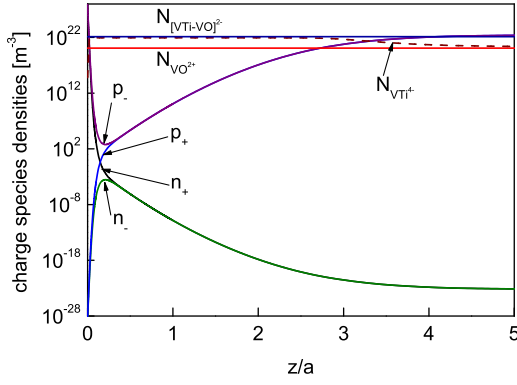


FIG. 6. Spatial distributions of charged species along the symmetry axes of domains in direction  $z$ . Densities of electrons and holes are indicated as  $n_-$  and  $p_-$ , respectively, in front of the negatively charged boundary at  $x=a$  and as  $n_+$  and  $p_+$  in front of the positively charged boundary at  $x=0$ . Concentrations of charged defects  $N_{VO^{2+}}$ ,  $N_{[VTi-VO]^{2-}}$  (solid lines) and  $N_{VTi^{4-}}$  (dashed line) are virtually independent on the charge at the boundaries except for the very narrow space region, which is not visible in the picture.

narrow region of about  $0.2a$  in front of the positive boundary. The density of holes is in contrast high, particularly far away from the boundaries, to outweigh the high density of the negatively charged defects. Note that the densities of all involved charged species resulting from continuous Eqs. (4) and (5) and displayed for completeness in the whole calculation domain in Fig. 6 are unphysically small from the atomistic point of view in some regions. This concerns particularly the densities of electrons  $n_-$  (in the whole domain),  $n_+$  (in the whole domain but the close vicinity of the positive boundary), and of holes  $p_+$  (in the close vicinity of the positive boundary). This means that these species can be simply neglected in respective areas.

### B. Appearance of a surface dipole layer

The long-range contribution to the potential may appear if a surface dipole layer is present at the interface as it is the case in a deliberately doped p-n junction between two semiconductors.<sup>27</sup> Then, the mean value  $p_s$  of the surface dipole density,  $p_z(x)$ , can be easily related to the mean surface value of the potential,  $\varphi_s$ . Indeed,

$$\begin{aligned} p_s &= \frac{1}{2a} \int_{-a}^a dx p_z(x) = \frac{1}{2a} \int_{-a}^a dx \int_0^\infty dz z \rho(x, z) \\ &= -\frac{\epsilon_0 \epsilon_c}{2a} \int_{-a}^a dx \varphi(x, 0) = -\epsilon_0 \epsilon_c \varphi_s, \end{aligned} \quad (14)$$

where Eq. (2) and the corresponding boundary conditions from Sec. II C were utilized.

What can be a reason for the formation of the effective dipole density at the ferroelectric/dielectric interface? To comprehend this phenomenon, the charge distribution obtained by FE calculations is displayed in Fig. 7. Space charge regions of different extensions are clearly seen in front of the positively and negatively charged parts of the interface. They result in unbalanced contributions to the dipole density and consequently to the nonzero mean potential at the interface. The differences in the extensions of the

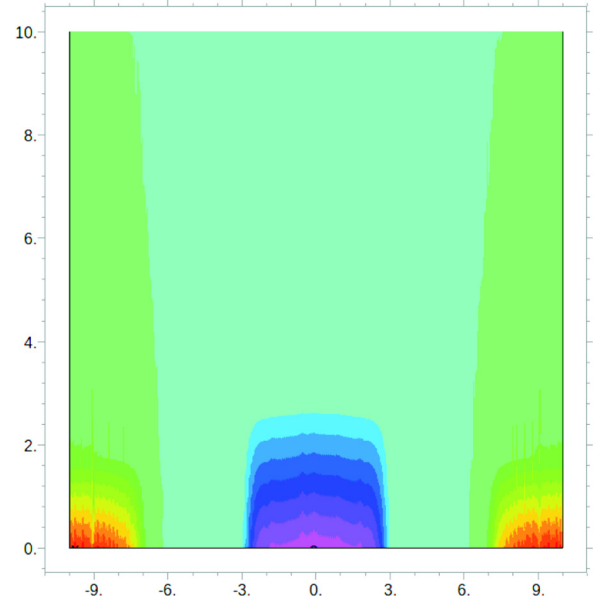


FIG. 7. FE calculation of the space charge distribution in front of the charged interface  $z=0$ . The space charge density varies between the negative maximum of  $-1.6 \times 10^9 \text{ C/m}^{-3}$  (dark) and the positive maximum of  $1.8 \times 10^9 \text{ C/m}^{-3}$  (bright). The vertical length scale is in units of  $10^{-10} \text{ m}$ , the horizontal one is in units of  $10^{-8} \text{ m}$ .

positive and negative space charge regions originate from the different donor and acceptor concentrations and different positions of their energy levels in the band gap. Since the extensions of space charge regions along the polarization direction are by two orders of the magnitude smaller than the domain width, the latter length is not expected to affect the mean value of the dipole density and the resulting surface potential.

To verify our understanding of the effective dipole formation, we perform now an exemplary calculation of the potential profiles in a similar model system with asymmetric space charge zones. Two space charge distributions displayed in Fig. 8 are described by the charge density

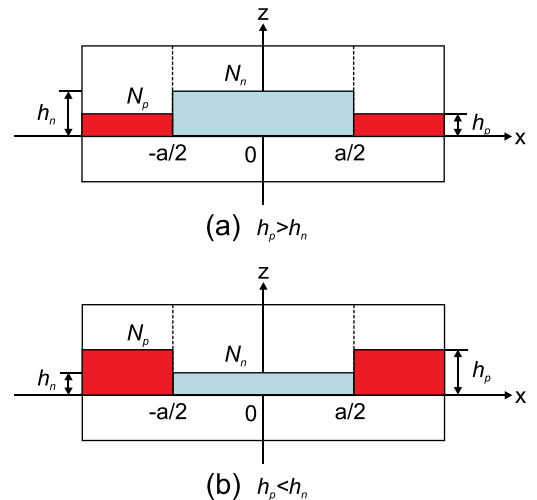


FIG. 8. Exemplary charge distributions according to Eq. (15) (not to scale). The dark-shaded rectangles are positive space charges and the light-shaded rectangle is filled with negative charges.

$$\rho_m(x_0, z_0) = qN_p\theta(|x_0| - a/2)\theta(z_0)\theta(h_p - z_0) - qN_n\theta(a/2 - |x_0|)\theta(z_0)\theta(h_n - z_0) \quad (15)$$

adjusted to the framework of Fig. 2, where  $N_n = \sigma/qh_n$  and  $N_p = \sigma/qh_p$  are different but the total charge in the positive and negative charged areas is the same. The depths of the positive and negative regions are chosen, respectively, as  $h_p = a/2$  and  $h_n = a$  in the Fig. 8(a) and as  $h_p = a$  and  $h_n = a/2$  in the Fig. 8(b). Thanks to different extensions of the space charge regions opposite mean dipole densities  $p_s$  are expected in the cases (a) and (b).

Potential distributions displayed in Fig. 9 and corresponding to the space charges shown in Fig. 8 were calculated, on the one hand, by using the exact analytic expressions (B8), (B11), and (B14) from Appendix B and, on the other hand, by means of the FE software FlexPDE. Potential profiles along the symmetry axes of the positive ( $x = \pm a$ ) and negative ( $x = 0$ ) polarization domains are presented in Figs. 9(a) and 9(b), respectively, for the space charge distribution in Fig. 8(a) and in Figs. 9(c) and 9(d), respectively, for the space charge distribution in Fig. 8(b). Analytical and numerical results exhibit fair agreement revealing, however, some problems related probably to sharp gradients of the model space charge distributions. The results regarding the negative mean dipole density  $p_s$ , which corresponds to the positive surface potential  $\varphi_s$ , displayed in Figs. 9(a) and 9(b) are qualitatively similar to those obtained by FE calculations in Fig. 4 supporting our understanding of the phenomenon of the surface potential at the ferroelectric/dielectric interface. The dependence of the latter potential on the concentration and energy of the involved defects suggests investigation of the doping effect on this phenomenon which follows below in Sec. IV.

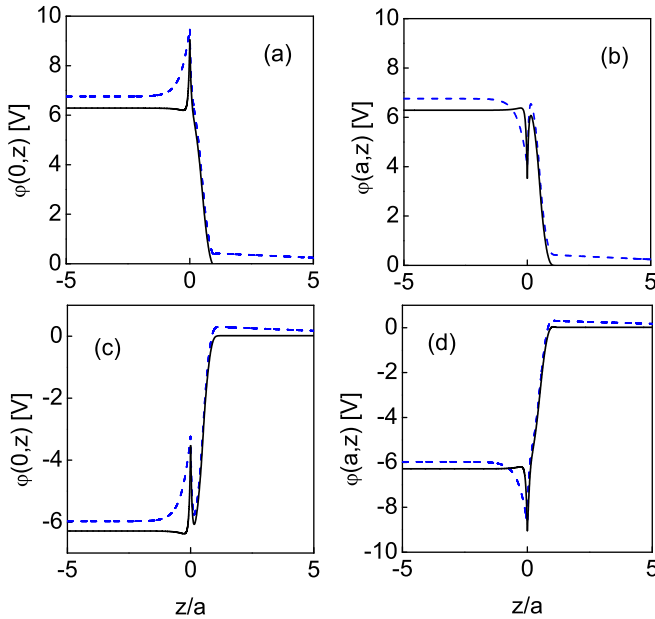


FIG. 9. Electrostatic potential profiles in  $z$  direction along the domain symmetry axes at  $x = 0$  (a) and  $x = a$  (b), which are produced by the model space charge distribution shown in Fig. 8(a). Similar potential profiles along the domain symmetry axes at  $x = 0$  (c) and  $x = a$  (d) produced by the space charge distribution in Fig. 8(b). Solid lines represent analytical calculations using results of Appendix B while dashed lines display FE calculations.

Though the interface at  $z = 0$  remains electrically neutral as a whole the effective surface charge density involved in the formation of the surface dipole layer can be estimated as  $\sigma_d \simeq p_s/l$  where  $l$  is the difference in spatial extensions between the positive and the negative space charge regions. This length is not easy to evaluate from Figs. 6 and 7 where charge densities are displayed on the logarithmic scale. From the potential profiles in Fig. 4, it can be estimated as  $l \simeq 0.3a = 3 \times 10^{-8}$  m. Together with  $p_s \simeq 6.6 \times 10^{-10}$  C/m from Eq. (14),  $\sigma_d \simeq 0.02$  C/m<sup>2</sup> can be estimated, which is by one order of the magnitude smaller than the surface bound charge equal to  $P_s = 0.25$  C/m<sup>2</sup>. Note that the values of the positive and negative surface charge densities evaluated separately from Fig. 7 can be by one order of the magnitude larger than  $\sigma_d$ , namely,  $10^9$  C/m<sup>3</sup>  $\times 10^{-10}$  m  $\simeq 0.1$  C/m and thus of the order of  $P_s$ .

### C. Energy of a domain structure in a semiconducting ferroelectric

Formation of the effective dipole layer and the surface potential results from a complicated balance between energies of the electric field, the charged defect states and charge carriers. It makes sense to evaluate the contribution of the surface potential in this balance. To this end, we use a general expression for energy density derived in Ref. 22 for a one-dimensional domain structure in an isotropic ferroelectric with variable polarization, which can be straightforwardly generalized to our case of a hard anisotropic ferroelectric. The energy density with account of screening charges of semiconductor nature reads

$$W = W_{field} + W_{kin} + W_{def}, \quad (16)$$

where the energy density of the electric field  $\mathbf{E}$  is

$$W_{field} = \frac{1}{2} \sum_{ik} \epsilon_{ik} E_i E_k, \quad (17)$$

the density of the kinetic energy of electrons is given by

$$W_{kin} = \int_{-\infty}^{E_v} d\mathcal{E} Z_v(\mathcal{E}) f(\mathcal{E}) + \int_{E_c}^{\infty} d\mathcal{E} Z_c(\mathcal{E}) f(\mathcal{E}), \quad (18)$$

and the energy density of charged defect states is

$$W_{def} = z_a N_a t_a(\varphi) E_a + z_d N_d (1 - t_d(\varphi)) E_d. \quad (19)$$

Here,  $Z_c(\mathcal{E})$  and  $Z_v(\mathcal{E})$  are densities of states in the conduction and valence bands, respectively,  $f(\mathcal{E})$  is the Fermi function,  $z_a$  and  $z_d$  are the acceptor and donor valences, respectively,  $t_a(\varphi)$  and  $t_d(\varphi)$  are the fractions of ionized donors and acceptors, respectively, and  $E_a$  and  $E_d$  are the donor and acceptor levels, respectively.<sup>22</sup>

Using Gauss' law (2) and boundary conditions, the energy of the electric field (17) per one periodic unit of the stripe domain structure in Fig. 1 can be transformed to

$$W_f = \frac{1}{2} \int_{-a}^a dx \sigma_p(x, 0) \varphi(x, 0) + \frac{1}{2} \int_{-a}^a dx \int_0^\infty dz \rho(x, z) \varphi(x, z). \quad (20)$$

In absence of the space charge due to charge carriers and charged defects, the second term in Eq. (20) disappears and this equation results in the well known expression<sup>8,9</sup>

$$W_f = 0.85 \frac{P_s^2 a^2}{4\pi\epsilon_0 \sqrt{\epsilon_a \epsilon_c}}. \quad (21)$$

In presence of electronic charge carriers and charged defects, the variation of the surface potential is reduced by half as is seen in Fig. 3. Accordingly, the first term in Eq. (20) is also reduced by half with respect to the space charge-free value (21). Note that a constant surface potential  $\varphi_s$  does not contribute to this term because of the alternating surface bound charge  $\sigma_p(x, 0)$ . It can however contribute to the second term in Eq. (20). In the case of intrinsic screening due to electronic carriers only, the electrostatic potential penetrates the ferroelectric bulk to the depth of  $a_s$  (see Fig. 4). The corresponding contribution of the space charge in the energy (17) is about  $q\varphi_{\max} n_{\max} a a_s$ . Since  $\varphi$  is in the range of few Volts and  $n \sim 10^{22} \text{ m}^{-3}$  at maximum (see Fig. 6), this contribution is three orders of the magnitude smaller than the value (21). In the presence of defects, the surface potential step is formed so that the electrostatic potential penetrates to the depth of about  $5a$  (see Fig. 4). The corresponding contribution to the energy still remains two orders of the magnitude smaller than (21) and thus negligible.

Consider now the kinetic energy of charge carriers, Eq. (18). Since even for the peak values of the electron and hole densities  $\hbar^2 n^{2/3}/m \ll k_B T$ , with  $m$  the electron mass and  $T$  room temperature, the classical Boltzmann statistics applies for charge carriers. In this case, the energy density (18) reduces to<sup>22</sup>

$$W_{kin} = (E_F + q\varphi)(n - p). \quad (22)$$

Thanks to the alternating potential and carrier densities, the corresponding contribution to the energy is positive and as small as the second term in Eq. (20) in comparison with the value (21).

The energy density of charged defect states (19) does not disappear deep in the bulk of the ferroelectric grain but saturates to the value

$$W_{def} \simeq 2N_{[V_{Ti}-V_O]^{2-}} E_{[V_{Ti}-V_O]^{2-}} \quad (23)$$

defined by the dominating acceptor defect, the doubly ionized di-vacancies  $[V_{Ti} - V_O]^{2-}$ . Since the bulk value of this defect density is about  $10^{22} \text{ m}^{-3}$  (see Fig. 6), this contribution integrated over the one unit area  $a \times h$  is one order of the magnitude smaller than the value (21).

Concluding this analysis, the energy gain due to the field screening of the semiconductor nature appears to be much larger than the other contributions to the energy (16) including the effect of the nonzero surface potential. This does not mean, however, that the space charge would not have an effect on the domain structure if the variation of the latter were allowed. Generally, the space charge influence on domain configurations is known to be strong.<sup>34</sup> The results of phase-field modeling show that the variable periodic domain structure is remarkably modified in the presence of the

semiconductor space charge while the surface potential at the grain remains comparable to that of the hard domain structure considered here.<sup>35</sup>

#### IV. POTENTIAL DISTRIBUTIONS IN THE EXTRINSICALLY DOPED BaTiO<sub>3</sub>

Ferroelectric perovskites are, in fact, always intentionally or unintentionally doped with various metallic ions widely present in the earth crust or involved in the production process.<sup>36</sup> Even small amounts of them may substantially change equilibrium concentrations of the intrinsic defects emerging at sintering temperatures, particularly, of the oxygen vacancies. That is why the values of concentrations evaluated in Sec. II B will change in the presence of dopants and should be recalculated for each dopant type and concentration. Likewise the value of the Fermi energy should be evaluated in each particular case. Nominally pure materials typically contain about 100 ppm, or 0.01 mol. %, of residual metallic ions,<sup>37</sup> the minimum doping value considered here. Higher intentional doping used, for example, for tuning of soft-hard properties of ferroelectrics<sup>38</sup> may amount to a few per cent.

First, we consider the typical case of BaTiO<sub>3</sub> doped with manganese,<sup>37,39</sup> which may occupy the titanium site of the crystal cell, resulting in defects  $\text{Mn}_{\text{Ti}}^{2-}$  for the  $\text{Mn}^{2+}$  state and  $\text{Mn}_{\text{Ti}}^{-}$  for the  $\text{Mn}^{3+}$ . The defect concentration calculations as described in Sec. II B using the energy levels of different ionization states of Mn established in Refs. 28 and 40 show that the major defect is singly ionized  $\text{Mn}_{\text{Ti}}^{-}$  with a transition energy of  $E_{\text{Mn}_{\text{Ti}}^{-}} = 1.3 \text{ eV}$ . The concentration of charged Mn ions

$$N_{\text{Mn}_{\text{Ti}}^{-}} = \frac{N_{\text{Mn}_{\text{Ti}}}}{1 + g \exp\left(\frac{E_{\text{Mn}_{\text{Ti}}^{-}} - E_F - q\varphi}{k_B T}\right)} \quad (24)$$

with  $g = 4$  should be added to the right hand side of Eq. (3). The values of the intrinsic defect densities equilibrated at 1000 K and corresponding room temperature Fermi energies are self-consistently calculated for different doping concentrations  $N_{\text{Mn}_{\text{Ti}}}$  by the procedure developed in Ref. 24 and shown in Table II.

Solving Eqs. (2)–(8) and (24) with input parameters from Table II by means of FlexPDE results in the electrostatic potential profiles displayed in Fig. 10. Some features distinguish these profiles from those of the intrinsically doped material in Figs. 3 and 4. The difference between the minima of the 0.01 mol. %-line and the 0.1 mol. %-line in Fig. 10(a) is about 0.75 V. Raising the manganese level by one order of the magnitude to one mole percent does not

TABLE II. Defect densities and Fermi energy of Mn-doped BaTiO<sub>3</sub>.

	0.01 mol. %	0.1 mol. %	1 mol. %
$E_F$ [eV]	0.390	1.148	1.148
$N_{V_O}$ [ $\text{m}^{-3}$ ]	$5.887 \cdot 10^{20}$	$5.811 \cdot 10^{21}$	$5.600 \cdot 10^{22}$
$N_{V_{Ti}}$ [ $\text{m}^{-3}$ ]	$3.612 \cdot 10^{20}$	$3.713 \cdot 10^{18}$	$4.017 \cdot 10^{16}$
$N_{[V_{Ti}-V_O]}$ [ $\text{m}^{-3}$ ]	$2.825 \cdot 10^{21}$	$2.863 \cdot 10^{20}$	$2.972 \cdot 10^{19}$
$N_{\text{Mn}_{\text{Ti}}}$ [ $\text{m}^{-3}$ ]	$1.563 \cdot 10^{24}$	$1.563 \cdot 10^{25}$	$1.563 \cdot 10^{26}$



change the value of the potential in the middle of the negative domain at  $x = a$ . The potential in the middle of the positive domain at  $x = 0$ , on the other hand, decreases further remarkably with rising doping level.

Potential profiles along the  $z$ -direction for the lowest doping of 0.01 mol. % remind of the case of intrinsic defects (dashed-dotted lines in Fig. 4), though with substantially enhanced penetration depth of the electric field. The profiles corresponding to higher doping become, in contrast, substantially different. Similarly, the mean value of the potential,  $\varphi_s = 1.287$  V, at the interface  $z = 0$  for 0.01 mol. % doping is not very different from the value of 1.345 V in the case of intrinsic doping. The values of  $\varphi_s = 0.492$  V and 0.180 V for 0.1 and 1 mol. % doping, respectively, are, however, notably different.

The electric potential profile in the  $z$ -direction of the intrinsically doped material exhibits a maximum at about

$z = 0.2a$  in front of the negatively charged interface ( $x = a$ ). A similar maximum can be seen at  $z = 0.2a$  for the 0.01 and 0.1 mol. % doped sample but vanishes for higher doping. The penetration depth of the electric potential is rather large for the least doped sample. It takes about ten times the domain width  $a = 100$  nm to reach zero. In the higher doped samples, it occurs at a much shorter distance.

Considering the strong effect of even medium doping on the surface potential at the ferroelectric grain, it is interesting to investigate the influence of different possible dopants on this potential. To this end, all the above calculations of energy, charge, and potential distributions including the equilibration with intrinsic defects at sintering temperature were repeated adopting different doping concentrations of hypothetical, simply ionized acceptor defects with different energy level positions in the band gap. In Fig. 11, the

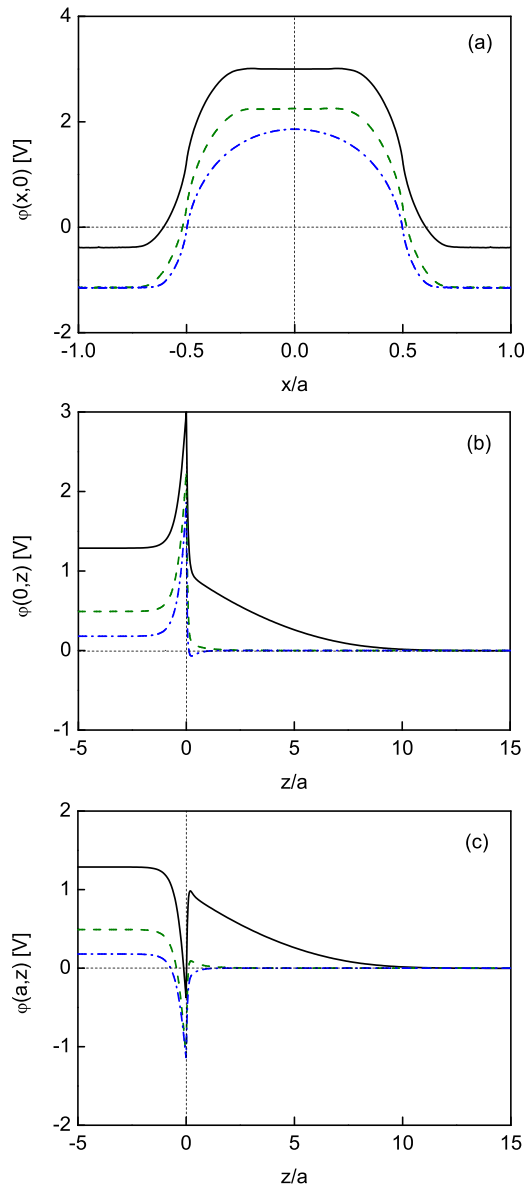


FIG. 10. Electrostatic potential profile in  $x$  direction along the interface at  $z = 0$  (a) and in  $z$  direction along the domain symmetry axes at  $x = 0$  (b) and  $x = a$  (c). Solid, dashed, and dashed-dotted lines show the potential distributions for doping of 0.01, 0.1, and 1 mol. %, respectively.

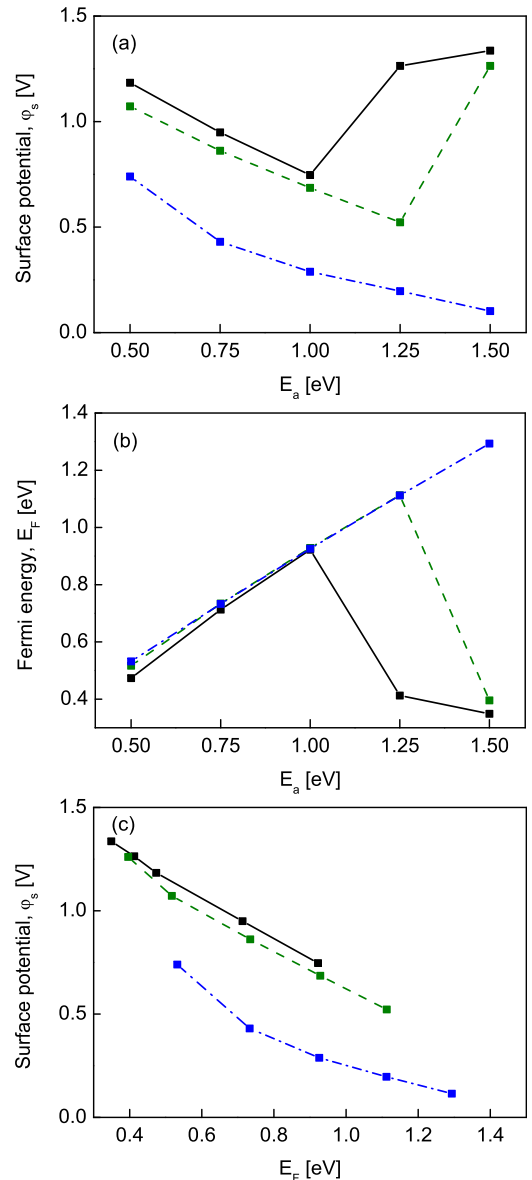


FIG. 11. Surface potential at the grain boundary (a) and the Fermi energy (b) as functions of the acceptor defect energy with respect to the top of the valence band for 0.01 (solid line), 0.1 (dashed line) and 1 mol. % (dashed-dotted line) doping, respectively. Similarly indicated dependences of the surface potential on the Fermi energy (c) for different doping.

dependencies of the surface potential and the Fermi energy on the defect energy  $E_a$  for different doping levels are presented. The surface potential is found to be tunable by acceptor doping in a wide range from 0.1 to 1.3 V and is apparently correlated with the Fermi level position exhibiting virtually linear descending dependence on the latter (Fig. 11(c)). Interestingly, higher extrinsic doping concentrations depress the surface potential by reducing the effect of the intrinsic defects  $V_{Ti}$  and  $[V_{Ti} - V_O]$  as is clearly seen from Table II.

## V. CONCLUSIONS

Very high variation of the electrostatic potential between alternatively charged polarization domain boundaries in a ferroelectric domain array makes it necessary to account for the local electronic band bending at the typical scale of the domain width. In the current work, this problem has been numerically treated within a two-dimensional semiconductor model of a ferroelectric grain supported by the analytic treatment of the linear dielectric model. In contrast to expectations,<sup>16,17</sup> the nonlinear screening of the depolarization fields by formation of the electronic and the defect space charges due to the band bending cannot explain the reduction of the potential variations by orders of magnitude. Fig. 3 shows the decrease of the potential magnitude by approximately one half with respect to the ideal dielectric value.<sup>8</sup> This means that the surface potential variations observed in Ref. 17 are most probably of different nature, or that the potential variations due to polarization are strongly compensated by other physical mechanisms mentioned in Ref. 17, for example, by field-driven oxygen vacancy migration.<sup>13,14</sup>

Another conclusion following from the analysis of nonlinear field screening within the semiconductor model is that the maximum amplitude of local electric depolarization fields in ferroelectrics appears to be determined not by the polarization  $P_s$  and the permittivity but rather by the electronic band structure because the typically very large depolarization fields of the magnitude  $\sim P_s/\epsilon_0\epsilon_c$  are limited by the value about  $E_G/qa$  due to screening of semiconducting nature. In an unpoled ferroelectric, the characteristic length  $a$  is given by the typical domain width, in the highly poled ferroelectric ceramic by the typical size of the poled region, say, the grain size. The latter limitation entails a reduction of the remanent depolarization fields in polycrystalline material with larger grain size and, therefore, a decrease of the internal bias field characterizing aging in the poled state by charge migration; a phenomenon observed in experiments.<sup>41</sup>

Taking into account typical intrinsic defects which develop during the high temperature processing of ferroelectric ceramics reveals unexpected features of nonlinear field screening, namely, the formation of an effective dipole layer at the ferroelectric grain boundary due to unbalanced space charge regions in front of differently charged domain boundaries. This dipole layer results in a surface electrostatic potential at the grain boundary, which can be of either sign and on the order of 1 V. Such a potential may have a dramatic impact on both ionic and electronic transport in ferroelectric ceramics by modifying the potential barriers for

charge carriers at the grain boundaries. The magnitude of the obtained surface potential appears to be very sensitive to low doping levels of about 0.01% and is generally reduced at higher doping levels remaining nevertheless remarkably large. Particularly, acceptor or donor doping allows fine tuning of this surface potential between roughly  $-1$  and  $1$  V.

## ACKNOWLEDGMENTS

This work was supported by the Deutsche Forschungsgemeinschaft through the Sonderforschungsbereich 595 “Electrical Fatigue in Functional Materials.”

## APPENDIX A: POTENTIAL OF A STRAIGHT CHARGED LINE PARALLEL TO A BOUNDARY BETWEEN ANISOTROPIC AND ISOTROPIC SEMI-SPACES

Consider a semi-space  $z > 0$  occupied by an anisotropic dielectric medium characterized by the tensor of dielectric permittivity  $\hat{\epsilon} = \epsilon_0\hat{\epsilon}_f$  with the relative permittivity given by Eq. (1). The lower semi-space  $z < 0$  is occupied by an isotropic dielectric medium with  $\hat{\epsilon} = \epsilon_0\epsilon_d\hat{1}$ , with  $\hat{1}$  the unit tensor.

A straight charged line with a charge density  $\tau$  per unit length oriented parallel to the  $y$ -axis and, thus, to the boundary between the two media,  $z = 0$ , crosses the  $(x, z)$  plane at the point  $(x_0, z_0)$  with  $z_0 > 0$ . Thanks to the translational symmetry along the  $y$ -axis all potentials and fields depend only on  $x$  and  $z$ .

For the charge-free area  $z < 0$ , the Laplace equation for the electrostatic potential  $\varphi$  applies

$$\partial_x^2\varphi + \partial_z^2\varphi = 0. \quad (\text{A1})$$

For the area  $z > 0$ , the Poisson equation

$$\epsilon_a\partial_x^2\varphi + \epsilon_c\partial_z^2\varphi = -(\tau/\epsilon_0)\delta(\mathbf{r} - \mathbf{r}_0) \quad (\text{A2})$$

is valid with the two-dimensional Dirac  $\delta$ -function and radius-vectors  $\mathbf{r} = (x, z)$  and  $\mathbf{r}_0 = (x_0, z_0)$ . Boundary conditions at the interface  $z = 0$  are

$$\varphi|_{z=0} = \varphi|_{z=+0}, \quad (\text{A3})$$

$$\epsilon_d\partial_z\varphi|_{z=0} = \epsilon_c\partial_z\varphi|_{z=+0}. \quad (\text{A4})$$

The ansatz for the potential in the area  $z < 0$ , which satisfies Eqs. (A1) and (A2) as well as boundary conditions (A3) and (A4) reads<sup>8,33</sup>

$$\varphi = -\frac{\tau'}{4\pi\epsilon_0} \ln\left(\left|\frac{\mathbf{r} - \mathbf{r}_2}{a}\right|^2\right) + A, \quad (\text{A5})$$

where  $\mathbf{r}_2 = (x_0, z_2)$  with  $z_2 = z_0\sqrt{\epsilon_a/\epsilon_c}$ ,  $a$  is some characteristic length, and  $A$  is a constant. For the area  $z \geq 0$ , the appropriate potential reads

$$\varphi = -\frac{\tilde{\tau}}{4\pi\epsilon_0} \ln\left(\left|\frac{\tilde{\mathbf{r}} - \tilde{\mathbf{r}}_0}{a}\right|^2\right) - \frac{\tau''}{4\pi\epsilon_0} \ln\left(\left|\frac{\tilde{\mathbf{r}} - \tilde{\mathbf{r}}_1}{a}\right|^2\right), \quad (\text{A6})$$

where  $\tilde{\tau} = \tau/\sqrt{\varepsilon_a \varepsilon_c}$ ,  $\tilde{\mathbf{r}} = (\tilde{x}, \tilde{z})$  with  $\tilde{x} = x/\sqrt{\varepsilon_a}$ ,  $\tilde{z} = z/\sqrt{\varepsilon_c}$ ,  $\tilde{\mathbf{r}}_0 = (\tilde{x}_0, \tilde{z}_0)$  with  $\tilde{x}_0 = x_0/\sqrt{\varepsilon_a}$ ,  $\tilde{z}_0 = z_0/\sqrt{\varepsilon_c}$  and  $\tilde{\mathbf{r}}_1 = (\tilde{x}_0, -\tilde{z}_0)$ . The constants  $A$ ,  $\tau'$  and  $\tau''$  can be determined from the boundary conditions. By substituting the ansatz forms (A5) and (A6) into Eqs. (A3) and (A4), one finds

$$\begin{aligned} A &= \frac{\tau}{4\pi\varepsilon_0} \frac{2\ln\varepsilon_a}{\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d}, \\ \tau' &= \frac{2\tau}{\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d}, \\ \tau'' &= \frac{\tau}{\sqrt{\varepsilon_a \varepsilon_c}} \frac{\sqrt{\varepsilon_a \varepsilon_c} - \varepsilon_d}{\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d}. \end{aligned} \quad (\text{A7})$$

For the special case of a charged line located right at the interface,  $z_0 = 0$ , the potential acquires the form

$$\varphi = -\frac{\tau}{4\pi\varepsilon_0} \frac{2}{\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d} \ln \left[ \frac{(x-x_0)^2 + z^2}{a^2 \varepsilon_a} \right] \quad (\text{A8})$$

for  $z < 0$ , and

$$\varphi = -\frac{\tau}{4\pi\varepsilon_0} \frac{2}{\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d} \ln \left[ \frac{(x-x_0)^2}{a^2 \varepsilon_a} + \frac{z^2}{a^2 \varepsilon_c} \right] \quad (\text{A9})$$

for  $z \geq 0$ .

## APPENDIX B: ELECTRIC FIELD PRODUCED BY AN ARBITRARY SPACE CHARGE WITHIN A STRIPE DOMAIN ARRAY

Here, we study analytically, for a system introduced in Appendix A, a linear problem of two-dimensional array of domains infinite in the positive  $z$ -direction, periodic in the  $x$ -direction and cut by the surface,  $z = 0$ , perpendicular to the direction of spontaneous polarization in domains. Boundary conditions (A3) and (A4) are used. First, we calculate the field  $\mathbf{E}^0(x, z)$  of the domain array alone without any free charges in the system. Then, we formally solve Eq. (2) and find the total electric field  $\mathbf{E}(x, z)$  for an arbitrary right-hand side.

The bound charge density of the domain faces with a period  $a$  along the  $x$ -axis is represented by an alternating function<sup>8</sup>

$$\rho_b(x, z) = \sigma \delta(z) \sum_n (-1)^n \theta\left(\frac{a}{2} - an + x\right) \theta\left(\frac{a}{2} + an - x\right), \quad (\text{B1})$$

where  $\delta(z)$  and  $\theta(x)$  are the Dirac  $\delta$ -function and the Heaviside unit step function, respectively. The electrostatic potential induced by this bound charge is given by the expression

$$\begin{aligned} \varphi_b(x, z) &= \frac{-1}{2\pi\varepsilon_0(\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d)} \int_{-\infty}^{\infty} dx_0 \int_{-\infty}^{\infty} dz_0 \rho_b(x_0, z_0) \\ &\quad \times \ln \left[ \frac{(x-x_0)^2 + (z-z_0)^2}{a^2 \varepsilon_a} \right] \end{aligned} \quad (\text{B2})$$

in the area  $z < 0$  and by the expression

$$\begin{aligned} \varphi_b(x, z) &= \frac{-1}{2\pi\varepsilon_0(\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d)} \int_{-\infty}^{\infty} dx_0 \int_{-\infty}^{\infty} dz_0 \rho_b(x_0, z_0) \\ &\quad \times \ln \left[ \frac{(x-x_0)^2}{a^2 \varepsilon_a} + \frac{(z-z_0)^2}{a^2 \varepsilon_c} \right] \end{aligned} \quad (\text{B3})$$

in the area  $z \geq 0$ . The formulas (B2) and (B3) are obtained by a simple superposition of the potentials generated by straight parallel charged lines located at the grain boundary  $z = 0$  between the isotropic and the anisotropic media given by Eqs. (A8) and (A9) in Appendix A.

The  $z$ -component of the electric field created by the bound charge,  $\mathbf{E}^0 = -\nabla \varphi_b$ , may be directly calculated by substitution of Eq. (B1) into Eqs. (B2) and (B3), differentiation and subsequent summation,<sup>42</sup> which results in the form

$$E_z^0(x, z) = \frac{2\sigma}{\pi\varepsilon_0} \frac{1}{(\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d)} \arctan \left[ \frac{\cos(\pi x/a)}{\sinh(\pi z/a)} \right] \quad (\text{B4})$$

valid inside the dielectric medium ( $z < 0$ ), and in the form

$$\begin{aligned} E_z^0(x, z) &= \frac{2\sigma}{\pi\varepsilon_0} \sqrt{\frac{\varepsilon_a}{\varepsilon_c}} \frac{1}{(\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d)} \\ &\quad \times \arctan \left[ \frac{\cos(\pi x/a)}{\sinh(\sqrt{\varepsilon_a/\varepsilon_c} \pi z/a)} \right] \end{aligned} \quad (\text{B5})$$

valid inside the ferroelectric medium ( $z \geq 0$ ).<sup>26</sup>

Direct calculation of the other field component,  $E_x^0 = -\partial_x \varphi_b$ , is more complicated because of slow convergence of the respective series. Instead,  $E_x^0$  may be calculated for  $z \neq 0$  from Gauss' law  $\nabla \cdot \mathbf{E}^0 = 0$ , taking into account that, from the bilateral symmetry of the problem (see Fig. 1),  $E_x^0(0, z) = E_x^0(\pm a, z) = 0$ . Proceeding with integration of the latter Gauss' equation over distance along the  $x$ -axis and using the aforementioned boundary conditions, one finds the form

$$E_x^0(x, z) = \frac{\sigma}{\pi\varepsilon_0(\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d)} \ln \left[ \frac{\cosh(\pi z/a) + \sin(\pi x/a)}{\cosh(\pi z/a) - \sin(\pi x/a)} \right] \quad (\text{B6})$$

valid for  $z < 0$  and

$$\begin{aligned} E_x^0(x, z) &= \frac{\sigma}{\pi\varepsilon_0(\sqrt{\varepsilon_a \varepsilon_c} + \varepsilon_d)} \\ &\quad \times \ln \left[ \frac{\cosh(\sqrt{\varepsilon_a/\varepsilon_c} \pi z/a) + \sin(\pi x/a)}{\cosh(\sqrt{\varepsilon_a/\varepsilon_c} \pi z/a) - \sin(\pi x/a)} \right] \end{aligned} \quad (\text{B7})$$

valid for  $z \geq 0$ . Both field components exhibit periodic dependence along the  $x$ -axis, as expected from the periodic domain arrangement, and exponential decay at large distance from the charged surface  $|z| \gg a$ , as expected from the previous finite element simulations.<sup>26</sup> The closed forms Eqs. (B4)–(B7) are numerically identical to the solutions in terms of Fourier series given in Refs. 8 and 18 and reduce to the previously derived expressions for the isotropic case.<sup>13</sup>

In the presence of a space charge density  $\rho_i(x, z)$  in the area  $z > 0$ , the total electric field in the considered linear problem may be conveniently decomposed as  $\mathbf{E} = \mathbf{E}^0 + \mathbf{E}^i$ ,

where the field  $\mathbf{E}^0$  is determined by the bound charge of the domains,  $\rho_b(x, z)$ , and the field  $\mathbf{E}^i$  is generated by the free charge distribution  $\rho_i(x, z)$ . Thanks to the periodicity and the bilateral symmetry of the boundary conditions, the region  $-a < x < a$  can be used as a repetitive basic unit of the system. To get a full description of the electric field under these circumstances, it is sufficient to construct Green's function of the symmetrical Neumann problem in the region,  $G_s(x, z|x_0, z_0)$ , so that the electrostatic potential induced by the charge density  $\rho_i(x, z)$  can be presented in a form<sup>33</sup>

$$\varphi_i(x, z) = \int_0^a dx_0 \int_0^\infty dz_0 \rho(x_0, z_0) G_s(x, z|x_0, z_0), \quad (\text{B8})$$

followed by the field expression  $\mathbf{E}^i = -\nabla\varphi_i$ .

Green's function satisfies the Laplace equation in the area  $z < 0$  and the equation

$$\varepsilon_0(\varepsilon_a \partial_x^2 + \varepsilon_c \partial_z^2) G_s(x, z|x_0, z_0) = -\delta(z - z_0) \times [\delta(x - x_0) + \delta(x + x_0)] \quad (\text{B9})$$

in the area  $z \geq 0$  with boundary conditions  $\partial_x G_s(x = \pm a, z|x_0, z_0) = 0$ . The latter requirement is a consequence of the constraint  $E_x(\pm a, z) = 0$  inherent to the chosen domain arrangement. Boundary conditions for the electrostatic potential on the interface between the two media at  $z = 0$ , Eqs. (A3) and (A4), impose two additional boundary conditions on Green's function

$$G_s(x, -0|x_0, z_0) = G_s(x, +0|x_0, z_0) \\ \varepsilon_d \partial_z G_s(x, -0|x_0, z_0) = \varepsilon_c \partial_z G_s(x, +0|x_0, z_0). \quad (\text{B10})$$

By using the fundamental solution of the 2D Poisson equation<sup>33</sup> (see Appendix A) and taking into account the periodicity of the problem, the solution of Eq. (B9) may be reduced to summation of the series

$$G_s(x, z|x_0, z_0) = -\frac{1}{2\pi\varepsilon_0(\sqrt{\varepsilon_a\varepsilon_c} + \varepsilon_d)} \\ \times \sum_n \left\{ \ln \left[ \frac{(x - x_0 - 2an)^2 + (z - z_0\sqrt{\varepsilon_a/\varepsilon_c})^2}{a^2\varepsilon_a} \right] \right\} \\ + (x_0 \rightarrow -x_0) \quad (\text{B11})$$

for the area  $z < 0$  and

$$G_s(x, z|x_0, z_0) = -\frac{1}{4\pi\varepsilon_0\sqrt{\varepsilon_a\varepsilon_c}} \\ \times \sum_n \left\{ \ln \left[ \frac{(x - x_0 - 2an)^2}{a^2\varepsilon_a} + \frac{(z - z_0)^2}{a^2\varepsilon_c} \right] \right\} \\ + \frac{\sqrt{\varepsilon_a\varepsilon_c} - \varepsilon_d}{\sqrt{\varepsilon_a\varepsilon_c} + \varepsilon_d} \ln \left[ \frac{(x - x_0 - 2an)^2}{a^2\varepsilon_a} + \frac{(z + z_0)^2}{a^2\varepsilon_c} \right] \right\} \\ + (x_0 \rightarrow -x_0) \quad (\text{B12})$$

for the area  $z \geq 0$ .

Because of slow convergence of these series, it is more convenient to perform summation for the derivatives  $\partial_x G_s$  and  $\partial_z G_s$  and then to restore the function  $G_s$  itself by integration using boundary conditions. This leads eventually to

$$G_s(x, z|x_0, z_0) = -\frac{1}{2\pi\varepsilon_0(\sqrt{\varepsilon_a\varepsilon_c} + \varepsilon_d)} \\ \times \ln \left[ \cosh \frac{\pi(z - z_0\sqrt{\varepsilon_a/\varepsilon_c})}{a} - \cos \frac{\pi(x - x_0)}{a} \right] \\ + (x_0 \rightarrow -x_0) \quad (\text{B13})$$

for the area  $z < 0$  and

$$G_s(x, z|x_0, z_0) \\ = -\frac{1}{4\pi\varepsilon_0\sqrt{\varepsilon_a\varepsilon_c}} \times \left\{ \ln \left[ \cosh \left( \sqrt{\frac{\varepsilon_a}{\varepsilon_c}} \frac{\pi(z - z_0)}{a} \right) - \cos \frac{\pi(x - x_0)}{a} \right] \right. \\ \left. + \frac{\sqrt{\varepsilon_a\varepsilon_c} - \varepsilon_d}{\sqrt{\varepsilon_a\varepsilon_c} + \varepsilon_d} \ln \left[ \cosh \left( \sqrt{\frac{\varepsilon_a}{\varepsilon_c}} \frac{\pi(z + z_0)}{a} \right) - \cos \frac{\pi(x - x_0)}{a} \right] \right\} \\ + (x_0 \rightarrow -x_0) \quad (\text{B14})$$

for the area  $z \geq 0$ , which is periodic, bilaterally symmetric and satisfies the proper boundary conditions. This solution reduces also to the previously derived one in the isotropic limiting case.<sup>13</sup>

<sup>1</sup>K. D. Johnson and V. P. Dravid, *Appl. Phys. Lett.* **74**, 621 (1999).

<sup>2</sup>A. Molak, M. Paluch, and S. Pawlus, *Phys. Rev. B* **78**, 134207 (2008).

<sup>3</sup>T. Frömling, H. Hutter, and J. Fleig, *J. Am. Ceram. Soc.* **95**, 1692 (2012).

<sup>4</sup>L. Andrejs and J. Fleig, *J. Eur. Ceram. Soc.* **33**, 779 (2013).

<sup>5</sup>B. J. Nyman, E. E. Helgee, and G. Wahnström, *Appl. Phys. Lett.* **100**, 061903 (2012).

<sup>6</sup>W. Lee, H. J. Jung, M. H. Lee, Y.-B. Kim, J. S. Park, R. Sinclair, and F. B. Prinz, *Adv. Funct. Mater.* **22**, 965 (2012).

<sup>7</sup>C. Kittel, *Phys. Rev.* **70**, 965 (1946).

<sup>8</sup>L. D. Landau and E. M. Lifshitz, *Theoretical Physics, Vol. VIII Electrodynamics of Continuous Media* (Pergamon, Oxford, 1963).

<sup>9</sup>T. Mitsui and J. Furuichi, *Phys. Rev.* **90**, 193 (1953).

<sup>10</sup>M. Takahashi, *Jpn. J. Appl. Phys., Part 1* **9**, 1236 (1970).

<sup>11</sup>H. Thomann, *Ferroelectrics* **4**, 141 (1972).

<sup>12</sup>D. C. Lupascu, Y. A. Genenko, and N. Balke, *J. Am. Ceram. Soc.* **89**, 224 (2006).

<sup>13</sup>Y. A. Genenko and D. C. Lupascu, *Phys. Rev. B* **75**, 184107 (2007); **76**, 149907 (2007).

<sup>14</sup>Y. A. Genenko, *Phys. Rev. B* **78**, 214103 (2008).

<sup>15</sup>N. Balke, T. Granzow, and J. Rödel, *J. Appl. Phys.* **105**, 104105 (2009).

<sup>16</sup>Y. Watanabe, D. Matsumoto, Y. Urakami, T. Arai, A. Masuda, and S. W. Cheong, *Ferroelectrics* **367**, 23 (2008).

<sup>17</sup>S. Kaku, S. Miyauchi, and Y. Watanabe, *J. Kor. Phys. Soc.* **55**, 799 (2009).

<sup>18</sup>N. N. Fedosov and A. S. Sidorkin, *Fiz. Tverd. Tela* **18**, 1661 (1976) [*Sov. Phys. Solid State* **18**, 964 (1976)].

<sup>19</sup>V. M. Fridkin, *Ferroelectric Semiconductors* (Plenum, New York, 1980).

<sup>20</sup>Y. Watanabe, *Phys. Rev. B* **57**, 789 (1998).

<sup>21</sup>P. M. Jones, D. E. Gallardo, and S. Dunn, *Chem. Mater.* **20**, 5901 (2008).

<sup>22</sup>M. Y. Gureev, A. K. Tagantsev, and N. Setter, *Phys. Rev. B* **83**, 184104 (2011).

<sup>23</sup>Y. Xiao, V. B. Shenoy, and K. Bhattacharya, *Phys. Rev. Lett.* **95**, 247603 (2005).

<sup>24</sup>P. Erhart and K. Albe, *J. Appl. Phys.* **104**, 044315 (2008).

<sup>25</sup>P. Erhart and K. Albe, *J. Appl. Phys.* **102**, 084111 (2007).

<sup>26</sup>Y. A. Genenko, N. Balke, and D. C. Lupascu, *Ferroelectrics* **370**, 196 (2008).



- <sup>27</sup>S. M. Sze and K. K. Ng, *Physics of Semiconductor Devices* (Wiley, Hoboken, 2007).
- <sup>28</sup>B. A. Wechsler and M. B. Klein, *J. Opt. Soc. Am. B* **5**, 1711 (1988).
- <sup>29</sup>*Handbook on Mathematical Functions*, edited by M. Abramovitz and I. Stegun (Dover, New York, 1970).
- <sup>30</sup>N. W. Ashcroft and D. N. Mermin, *Solid State Physics* (Harcourt College Publishers, New York, 1976).
- <sup>31</sup>F. Neumann, Y. A. Genenko, and H. von Seggern, *J. Appl. Phys.* **99**, 013704 (2006).
- <sup>32</sup>M. Zgonik, P. Bernasconi, M. Duelli, R. Schlessler, P. Günter, M. H. Garrett, D. Rytz, Y. Zhu, and X. Wu, *Phys. Rev. B* **50**, 5941 (1994).
- <sup>33</sup>J. D. Jackson, *Classical Electrodynamics* (John Wiley & Sons, New York, 1975).
- <sup>34</sup>J. Wang and M. Kamlah, *Appl. Phys. Lett.* **93**, 042906 (2008).
- <sup>35</sup>Y. Zuo, Y. A. Genenko, A. Klein, P. Stein, and B. X. Xu, *J. Appl. Phys.* **115**, 084110 (2014).
- <sup>36</sup>D. M. Smyth, *The Defect Chemistry of Metal Oxides* (Oxford University Press, New York, 2000).
- <sup>37</sup>X. Guo, C. Pithan, C. Ohly, C.-L. Jia, J. Dornseiffer, F.-H. Haegel, and R. Waser, *Appl. Phys. Lett.* **86**, 082110 (2005).
- <sup>38</sup>M. I. Morozov and D. Damjanovic, *J. Appl. Phys.* **107**, 034106 (2010).
- <sup>39</sup>L. Zhang, E. Erdem, X. Ren, and R.-A. Eichel, *Appl. Phys. Lett.* **93**, 202901 (2008).
- <sup>40</sup>H.-J. Hagemann and D. Hennings, *J. Am. Ceram. Soc.* **64**, 590 (1981).
- <sup>41</sup>Y. A. Genenko, J. Glaum, O. Hirsch, H. Kungl, M. J. Hoffmann, and T. Granzow, *Phys. Rev. B* **80**, 224109 (2009).
- <sup>42</sup>A. P. Prudnikov, Y. A. Brychkov, and O. I. Marychev, *Integrals and Series* (Gordon and Breach, New York, 1986).